

11/16/01

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional application under 37 C.F.R. § 1.53(b))	<b>Attorney Docket No.</b>	IN-5525
	<b>First Named Inventor</b>	Charles L. TAZZIA et al.
	<b>Filing Date</b>	November 16, 2001
	<b>Title</b>	Aqueous dispersions and aqueous electrodepositable primers
	<b>Mail Certificate</b>	HAND DELIVER

JC337 U.S. PTO  
09/987822  
11/16/01

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application, Washington, DC 20231
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<p>1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form (e.g. PTO/SB/17)</b> (Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> <b>Applicant claims small entity status.</b> See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> <b>Specification</b> [Total Pages <b>36</b> ] -(preferred arrangement set forth below) - Descriptive title of the invention - Cross References to Related Application - Statement Regarding Fed sponsored R &amp; D - Reference to Microfiche Appendix - Background of the invention - Background of the invention - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input type="checkbox"/> <b>Drawing(s) (35 U.S.C.113)</b> [Total Sheets <input type="checkbox"/> ]</p> <p>5. <input type="checkbox"/> <b>Oath or Declaration</b> [Total Sheets <input type="checkbox"/> ] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> <b>Copy from a prior application (37 CFR § 1.63(d))</b> (for continuation/divisional with Box 17 completed) i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting</p> <p>6. <input type="checkbox"/> <b>Application Data Sheet.</b> See 37 CFR 1.76</p>	<p>7. <input type="checkbox"/> <b>CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</b></p> <p>8. <b>Nucleotide and/or Amino Acid Sequence Submission</b> (if applicable, all necessary) a. <input type="checkbox"/> <b>Computer Readable Form (CRF)</b> b. <b>Specification Sequence Listing on:</b> i. <input type="checkbox"/> <b>CD-ROM or CD-R (2 copies); or</b> ii. <input type="checkbox"/> <b>paper</b> c. <input type="checkbox"/> <b>Statements verifying identity of above copies</b></p>
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**ACCOMPANYING APPLICATION PARTS**

9. ☐ **Assignment Papers (cover sheet & document(s))**
10. ☐ **37 CFR§3.73(b) Statement** ☐ **Power Of Attorney**  
(when there is an assignee)
11. ☐ **English Translation Document (if applicable)**
12. ☐ **Information Disclosure Statement (IDS)/ PTO-1449** ☐ **Copies of IDS Citations**
13. ☐ **Preliminary Amendment**
14. ☒ **Return Receipt Postcard (MPEP 503)**  
(Should be specifically itemized)
15. ☐ **Certified Copy of Priority Document(s)**  
(if foreign priority is claimed)
16. ☐ **other:** .....

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment;☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 0 filed on .....

Prior application information:

Examiner

Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only; The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Labelor ☐ Correspondence address below

26922

PATENT-TRADEMARK OFFICE

<b>Country</b>	USA	<b>Telephone</b>	(248) 948-2020	<b>Fax</b>	(248) 948-2093
<b>Name (print Type)</b>	Mary E. Golota	<b>Registration No. (Attorney Agent)</b>	36,814		
<b>Signature</b>			<b>Date</b>	November 16, 2001	

<b>FEE TRANSMITTAL</b> Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 2001	Attorney Docket No.	IN-5525
	First Named Inventor	Charles L. TAZZIA et al.
	Filing Date	November 16, 2001
	Title	Aqueous dispersions and aqueous electrodepositable primers
	Mail Certificate	HAND DELIVER

<b>TOTAL AMOUNT OF PAYMENT</b>	<b>\$1,202.00</b>
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<b>METHOD OF PAYMENT</b>	<b>FEE CALCULATION</b>
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1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to change indicated fees and credit any over payments to:		<b>3. ADDITION FEES</b>	
Deposit Account Number <b>23-3425</b>	Deposit Account Name <b>BASF Corporation</b>	<input checked="" type="checkbox"/> Change Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	<input checked="" type="checkbox"/> Charge the Issue Fee Set in 37 CFR § 1.18 at the Mailing of the Notice of Allowance
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		Large Fee Code	Entity Fee (\$) Fee Description Fee Paid

<b>1. BASIC FILING FEE</b> Large Fee Code      Entity Fee (\$)		105    130    Surcharge -late filing fee or oath 127    50    provisional ailing or cover sheet 139    130    Non-English specification 147    2,520    For filing request for examination 112    920*    Requesting publication of SIR prior to Examiner action 113    1,840    Requesting publication of SIR after Examiner action 115    110    Extension for reply within first month 116    400    Extension for reply within second month 117    890.    Extension for reply within third month 118    1,510    Extension for reply within fourth month 128    2,060    Extension for reply within fifth month 119    310    Notice of Appeal 120    310    Filing a brief in support of an appeal 121    270    Request for oral hearing 138    1,510    Petition to institute a public use proceeding 140    110    Petition to revive-unavoidable 141    1,320    Petition to revive-unintentional 142    1,320    Utility issue fee (or reissue) 143    450    Design issue fee 144    670    Plant issue fee 122    130    Petition to the Commissioner 123    50    Petition related to provisional application 126    240    Submission of information Disclosure Statement 581    40    Recording each patent Assignment per property (time number of properties) 146    790    Filing a submission after final rejection 149    790    For each additional invention to be examined (37 CFR 1.129(b)) (specify) _____ Other fee _____ Reduced By Basic Fee Paid _____ Filing _____																																										
<b>2. EXTRA CLAIM FEES</b> <table border="1"> <tr> <td>Total Claims</td> <td>41</td> <td>-20** =</td> <td>21</td> <td>x</td> <td>18</td> <td>=</td> <td>\$378.00</td> </tr> <tr> <td>Independent Claims</td> <td>04</td> <td>-3** =</td> <td>01</td> <td>x</td> <td>84</td> <td>=</td> <td>\$ 84.00</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td></td> <td></td> <td>290</td> <td>=</td> <td>-0-</td> </tr> </table> **Or number previously paid, if greater; For Reissues, see below <table border="1"> <tr> <td>Large Fee Code</td> <td>Entity Fee</td> <td>Claims in excess of 20</td> </tr> <tr> <td>103    18</td> <td></td> <td>Independent claims in excess of 3</td> </tr> <tr> <td>102    80</td> <td></td> <td>Multiple dependent claims, if not paid</td> </tr> <tr> <td>104    270</td> <td></td> <td>**Reissue independent claims over original patent</td> </tr> <tr> <td>109    82</td> <td></td> <td>**Reissue claims in excess of 20 and over original patent</td> </tr> <tr> <td>110    22</td> <td></td> <td></td> </tr> </table> SUBTOTAL (2)    (\$740.00)		Total Claims	41	-20** =	21	x	18	=	\$378.00	Independent Claims	04	-3** =	01	x	84	=	\$ 84.00	Multiple Dependent					290	=	-0-	Large Fee Code	Entity Fee	Claims in excess of 20	103    18		Independent claims in excess of 3	102    80		Multiple dependent claims, if not paid	104    270		**Reissue independent claims over original patent	109    82		**Reissue claims in excess of 20 and over original patent	110    22			SUBTOTAL (2)    (\$462.00)
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<b>18. CORRESPONDENCE ADDRESS</b>
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Country	USA	Telephone	(248) 948-2020	Fax	(248) 948-2093
Name (print Type)	Mary E. Golota	Registration No. (Attorney Agent)	36,814		
Signature	<i>Mary E. Golota</i>	Date	November 16, 2001		

PATENT

(Practitioner's Docket No. IN-5525)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Charles L. TAZZIA et al.

US Serial No.: Herewith

Group Art Unit: Not Assigned

Filed: November 16, 2001

Examiner: Not Assigned

For: AQUEOUS DISPERSIONS AND AQUEOUS ELECTRODEPOSITABLE PRIMERS

Commissioner of Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING FOR HAND DELIVER

I hereby certify that the attached correspondence comprising:

<input checked="" type="checkbox"/>	Post Card		
<input checked="" type="checkbox"/>	Utility Transmittal Cover letter	01	Page(s)
<input checked="" type="checkbox"/>	Fee Transmittal in duplicate	01	Page(s)

<input checked="" type="checkbox"/>	English Application				
Specification on	29	pages;	claims on	06	pages;
the abstract on	01	page(s);	drawings on		page(s).

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on November \_\_\_\_\_

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